

**VINACOMIN –
COAL IMPORT EXPORT
JOINT STOCK COMPANY-**

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom –Happiness

No: 112 /CLM-TCHC
On Disclosure of Report on
Corporate Governance 2024

Hanoi, January 24th, 2025

Respectfully to: Hanoi Stock Exchange

Organization name : Vinacomin – Coal Import Export Joint Stock Company
Stock code : CLM
Address : No. 47 Quang Trung Street, Tran Hung Dao Ward, Hoan
Kiem District, Hanoi
Tel : +84 24 39 424 634 Fax: +84 24 39 422 350
Email : coalimex@fpt.vn
Website : www.coalimex.vn

Information disclosure type : ☐ 24 hours ☐ On demand ☐ Extraordinary ☒ Periodic

Content of information disclosure: Report on Corporate Governance 2024

This information was published on the Company's website on January 24th 2025, as at the link: www.coalimex.vn

We hereby certify that the information provided is true and accurate and we bear the full responsibility to the law for the content of the disclosed information.

Attach:

Report on Corporate Governance 2024

Recipients:

- As above;
- BOD, Supervisory Board (for report);
- Deputy Director;
- Archives: VT, TCHC.

**LEGAL REPRESENTATIVE
DIRECTOR**



Pham Minh

Hà Nội, January 24th 2025

No.: *111* /BC-CLM

**REPORT ON CORPORATE GOVERNANCE
YEAR 2024**

To: - The State Securities Commission;
- Hanoi Stock Exchange

- Name of company: **VINACOMIN - COAL IMPORT EXPORT JOINT STOCK COMPANY**

- Address of head office: No. 47 Quang Trung Street - Hoan Kiem District - Hanoi

- Telephone: 024.39424634 Fax: 024.39422350 Email: coalimex@fpt.vn

- Charter capital: 110,000,000,000 Dong (In words: One hundred ten billion VND)

- Stock symbol: CLM

- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, and Director.

- The implementation of internal audit function: Implemented

The Internal Audit Regulations and Internal Audit Plan have been issued; an internal audit officer has been appointed to organize and implement the company's audit activities in accordance with regulations.

I. Activities of the General Meeting of Shareholders:

Information on meetings, resolutions/decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/Decision No.	Date	Content
1	01/NQ-ĐHĐCĐ	25/4/2024	The 2024 Annual General Meeting of Shareholders with the following contents.: - Approve the audited Financial statements for 2023. - Approve the dividend rate and the form of dividend payment for 2023.. - Approve the remuneration payments for the Board of Directors (BOD) and

No.	Resolution/Decision No.	Date	Content
			<p>Board of Supervisors (SB) members for 2023 and the remuneration plan for 2024.</p> <ul style="list-style-type: none"> - Approve the 2024 business production plan and investment research policy. - Approve the list of independent audit firms for auditing the Company's Financial statements for 2024. - Approve the agreement of contract transactions between the Company and related parties. - Approve the addition of business sectors of the company; Amendments and supplements to the company's charter and governance regulations.

II. Board of Directors (Report of 2024):

1. Information about the members of the Board of Directors (BOD):

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Bui Van Tuan	Chairman	25/09/2023	
2	Mr. Pham Minh	Member	12/01/2017	
3	Mr. Nguyen Van Cu	Member	26/03/2010	
4	Mr. Nguyen Manh Diep	Member	24/04/2020	
5	Mr. Tran Xuan Hoa	Member	01/12/2022	

2. Meetings of the Board of Directors:

No.	Board of Directors' members	Position	Number of meetings attended by the Board of Directors	Attendance rate	Reasons for absence
1	Mr. Bui Van Tuan	Chairman	28/30	93%	Business trip
1	Mr. Pham Minh	Member	30/30	100%	
2	Mr. Nguyen Van Cu	Member	30/30	100%	
3	Mr. Nguyen Manh Diep	Member	30/30	100%	
4	Mr. Tran Xuan Hoa	Member	30/30	100%	

3. Supervising the Board of Management by the Board of Directors:

Based on the Company's Charter and Corporate Governance Regulations, the supervision of the activities of the Board of Directors is carried out through the Board's meetings, internal audit activities, or the Board reviewing and overseeing the production and business activity reports, as well as financial statements for 2023, Q1, Q2, semi-annual, Q3 of 2024.

The Company's Director has organized the full and serious implementation of the regulations, resolutions, and decisions of the Board of Directors, managing the Company's production and business operations; providing timely proposals for the Board of Directors to offer appropriate directions and decisions in guiding the Company's production and business activities; and complying with the laws and the Company's Charter in signing and executing economic contracts.

4. Activities of the Board of Directors' subcommittees: The Board of Directors does not establish subcommittees. The activities of the Board of Directors are specifically assigned to members to supervise and assess the matters within the Board's authority.

5. Resolutions/Decisions of the Board of Directors:

No.	Resolution	Date	Content	Approval rate
1	01/NQ-HĐQT	02/01/2024	Temporary disbursement of the 2023 dedicated managerial staff bonus	100%
2	02/NQ-HĐQT	18/01/2024	Short-term loan limit 2024	100%

No.	Resolution	Date	Content	Approval rate
3	03/NQLT-HĐQT	18/01/2024	Staff management work (request for the policy to re-appoint the Deputy Director of the Company)	100%
4	04/NQ-HĐQT	18/01/2024	Staff management work (requesting approval for the first-time appointment of the company's Chief Accountant).	100%
5	05/NQ-HĐQT	18/01/2024	Approval of the investment plan for 2024	100%
6	06/NQ-HĐQT	18/01/2024	Approval of the 2024 business production plan	100%
7	07/NQ-HĐQT	18/01/2024	Approval of the adjusted business production plan for 2024 - 2026	100%
8	08/NQ-HĐQT	16/02/2024	Increase charter capital in the form of issuing shares to pay dividends for 2023	100%
9	09/NQ-HĐQT	16/02/2024	Organization of the Annual General Meeting of Shareholders 2024	100%
10	10/NQ-HĐQT	29/02/2024	The re-appointment of Deputy Director Nguyen Thuy Duong.	100%
11	11/NQ-HĐQT	29/02/2024	Continue to assign staff tasks (Assigning tasks to the Company secretary)	100%
12	12/NQ-HĐQT	20/3/2024	Review and supplement the company leadership staff planning for 2020-2025 and 2025-2030	100%
13	13/NQ-HĐQT	29/3/2024	Approval the 2023 salary fund settlement for the company.	100%
14	14/NQ-HĐQT	29/3/2024	Approval the plan for organizing and arranging the units within the company	80%
15	16/NQ-HĐQT	10/4/2024	Personnel work (appointment of the Chief Accountant of the Company)	100%
16	17/NQ-HĐQT	14/5/2024	Approve credit limits and financial ratios for the year 2024	100%
17	18/NQ-HĐQT	14/5/2024	Reward for the Company's Executive Management Board in 2023	100%

No.	Resolution	Date	Content	Approval rate
18	19/NQ-HĐQT	14/5/2024	The plan for using the Reward and Welfare Fund in 2024.	100%
19	20/NQ-HĐQT	24/5/2024	Staff appointment for overseas business trips (Company Director)	100%
20	21/NQ-HĐQT	06/6/2024	Choosing the auditing firm for the financial statements of 2024.	100%
21	22/NQ-HĐQT	06/6/2024	Approval the issuance of regulations for selecting suppliers of goods	100%
22	23/NQ-HĐQT	06/6/2024	Issuance of the Regulation on the Implementation of Grassroots Democracy at the Company.	100%
23	24/NQ-HĐQT	06/6/2024	Approval for the use of Gia Đức 01 coal warehouse	100%
24	25/NQ-HĐQT	11/6/2024	The approval of the policy to open a warehouse in Chan May, Hue Citadel City	100%
25	26/NQ-HĐQT	26/6/2024	Approval for the use of the Can Tho coal warehouse .	100%
26	27/NQLT-HĐQT-ĐU	01/7/2024	Review and supplementation of the planning of the company's leadership personnel for the period 2020 - 2025	100%
27	28/NQ-HĐQT	12/7/2024	The approval for the use of Area A, Gia Duc Coal Warehouse 02.	100%
28	29/NQ-HĐQT	22/7/2024	Approval of the investment project for the procurement of equipment for business production to serve production activities.	100%
29	30/NQ-HĐQT	22/7/2024	The appointment of comrade Tran Tuan Ngoc - In charge of the Production Planning Department.	100%
30	31/NQ-HĐQT	22/7/2024	Approval of the official on a business trip abroad (Mr. Nguyen Thuy Duong - Deputy Director going to South Korea)	100%
31	32/NQ-HĐQT	22/7/2024	Approval of the policy, the report indicates that TKV agrees to invest in the Ca Na coal warehouse	100%
32	33/NQ-HĐQT	03/8/2024	Approve to put Gia Duc 02 coal warehouse into operation.	100%

No.	Resolution	Date	Content	Approval rate
33	34/NQ-HĐQT	13/8/2024	Assignment of officials on a business trip abroad (Mr. Pham Minh goes to Indonesia)	100%
34	35/NQ-HĐQT	20/8/2024	Approval the official on a business trip abroad (Mrs. Nguyen T Q Ngan to China).	100%
35	36/NQ-HĐQT	20/8/2024	Adjusting the transition step of the 2024 Investment Plan	100%
36	37/NQLT-ĐU-HĐQT	11/9/2024	Overcoming the impact of Typhoon No. 3 (Yagi-2024), stabilize business operations, and strive to complete the 2024 plan.	100%
37	38/NQ-HĐQT	25/10/2024	Reporting on the preparation plan for coal infrastructure for electricity.	100%
38	39/NQ-HĐQT	31/10/2024	Developing the salary scale and salary table of the Company	100%
39	40/NQ-HĐQT	7/11/2024	1. Issuance of Investment and Construction Regulations + Materials Management Regulations 2. Salary adjustment for the Manager. 3. Review, assessment, and classification of officials 2024.	100%
40	41/NQ-HĐQT	20/11/2024	Personnel work	100%
41	42/NQ-HĐQT	21/11/2024	Reviewing the organization and implementation to complete the coal warehouse standards	100%
42	43/NQ-HĐQT	29/11/2024	Approval for putting the Chan May coal transshipment warehouse into operation.	100%
43	44/NQ-HĐQT	04/12/2024	1. Approval the adjusted 2024 investment plan 2. Approval the 2025 investment plan 3. Approval the 2025 Internal Audit Plan.	100%
44	45/NQ-HĐQT	10/12/2024	Termination of operations at the Thuy Nguyen 2 coal depot - Hai Phong.	100%

No.	Resolution	Date	Content	Approval rate
45	46/NQ-HĐQT	12/12/2024	Personnel work (re-appointment Deputy Director Trang)	100%
46	47/NQ-HĐQT	12/12/2024	1. The policy of officials going abroad (Mr. Pham Minh on leave to go to the USA). 2. The policy of appointing officials (appointing Department Head Duong Dinh Hai).	100%
47	48/NQ-HĐQT	28/12/2024	1. Agreement on the staff's taking leave for foreign trips (Mr. Pham Minh goes to the US, Mr. Duong goes to Singapore, Mrs. Ngan goes to China). 2. Staff work (appointment to the position of Head of Department for Mr. Duong Dinh Hai) 3. Approval of the 2025 Business Production Plan 4. Temporary reward for Management Staff 2024.	100%

III. Board of Supervisors(Report on 2024):

1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors		Qualification
			Date of appointment	Date of dismissal	
1	Mrs. Nguyen Thi Lan Anh	Head of supervisory Board	22/04/2016		Bachelor of Economics
2	Mrs. Bui Thi Minh Thu	Supervisor	26/03/2010		Bachelor of Laws
3	Mrs. Nguyen Thi Hong Diep	Supervisor	24/04/2020		Bachelor of Economics

2. Meetings of Board of Supervisors:

No.	Members of Board of Supervisors	Position	Number of meetings attended	Attendance rate	Approval rate	Reasons for absence
1	Mrs. Nguyen Thi Lan Anh	Head of supervisory Board	6/6	100%	100%	
2	Mrs. Bui Thi Minh Thu	Supervisor	6/6	100%	100%	
3	Mrs. Nguyen Thi Hong Diep	Supervisor	6/6	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

Based on the Company's Charter, Internal Governance Regulations, and the Operating Regulations of the Board of Supervisors, through activities such as attending meetings, conducting direct work, and reviewing records and documents, the Board of Supervisors has carried out its duty of overseeing the Board of Directors and the General Director in managing and operating the Company's business activities, specifically:

- The Board of Supervisors supervises the Board of Directors and the Company's Director in implementing the Charter, the Resolutions of the General Meeting of Shareholders, and the management and operation of the Company.

- Supervising the activities of the Board of Directors regarding the implementation of resolutions of the General Meeting of Shareholders, resolutions of the Board of Directors, and regulations on organizational operations in specific areas of the Company issued by the Board of Directors.

- Supervising the activities of the Chief Executive Officer through the implementation of business production targets approved by the General Meeting of Shareholders and the resolutions of the General Meeting of Shareholders, as well as the implementation of the resolutions of the Board of Directors and the regulations of the Company's Director within the scope of authority granted to the Director as stipulated in the Company's Charter and regulations.

- Appraising the business performance report, financial statements, business results, and capital and asset indicators of the Company for the year 2023, as well as for 1st Quarter, six-month period ended June 30th 2024, 3rd Quarter of 2024 and 4th Quarter of 2024, to assess the Company's operations in accordance with regulations.;

4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

- Proposal for a list of independent auditing firms qualified to audit the Company's 2024 financial statements for approval by the General Meeting of Shareholders.

- Participation in providing opinions on the development of the company's regulations and policies.

- The head of the Board of Supervisors attends meetings of the Board of Directors and the Executive Board of the company.

- The Board of Supervisors regularly receives working documents related to the production and business activities of the company from the Board of Directors and the Executive Board. It can also access any documents and data of the company when necessary to serve the inspection and supervision tasks of the Board of Supervisors in accordance with the provisions of the Charter..

5. Other activities of the Board of Supervisors:

- Develop an annual activity plan for the Committee; quarterly, the Board of Supervisors organizes meetings to evaluate the business organization and financial situation of the Company; the number of members participating is complete, and all meetings have minutes and ensure a high level of consistency.

IV. Board of Management:

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment
1	Mr. Pham Minh (Director)	18/06/1977	Bachelor of Business Administration Bachelor of Foreign Languages	01/08/2022
2	Mr. Nguyen Thuy Duong (Deputy director)	05/06/1974	Bachelor of Economics	01/03/2019
3	Mrs. Le Thi Thu Trang (Deputy director)	24/07/1978	Bachelor of Economics Bachelor of Foreign Languages	16/12/2019

V. Chief Accountant :

No.	Full Name	Date of birth	Qualification	Date of appointment
1	Mrs. Nguyen Thi Quynh Ngan	09/07/1976	Bachelor of Economics	10/04/2024

VI. Training courses on corporate governance:

The members of the Board of Directors, the members of the Board of Supervisors, the members of the Executive Board and the Director, other management staff, and the company secretary continue to fully participate in corporate governance training in accordance with the regulations of the law and the competent authorities.

VII. The list of related parties and persons of the public company (Report of 2024) and transactions of related parties and persons of the Company

1. The list of related parties and persons of the Company(Appendix 1).

2. Transactions between the Company and its related persons or between the Company and its major shareholders, internal persons and related persons. (Appendix 2);

3. Transaction between internal persons of the Company, related persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: No

4. Transactions between the Company and other objects(Appendix 3)

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director have been founding members or members of Board of Directors, or CEOs in last three (03) years (calculated at the time of reporting): Yes

4.2. Transactions between the Company and the company that its related persons with members of Board of Directors, Board of Supervisors, Director and other managers are members of Board of Directors, Director : No

4.3. Other transactions of the Company (if any) may bring material or non-material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: No

VIII. Share transactions of internal persons and their related persons:

1. The list of internal persons and their related persons (Appendix 4).

2. Transactions of internal persons and related persons with shares of the Company (Appendix 5)

IX. Other significant issues: No

Recipients:

- As above;
- Board of Directors, Board of Supervisors;
- Finance Department;
- Luru: Clericals, Admin, Company Secretary.



CHAIRMAN OF THE BOD ✓

Bui Van Tuan

APPENDIX 1

List of related persons of the Company

(Attached to Report No. AAA /BC-CLM dated 24/01/2025)

No.	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	ID Number, date of issue, place of issue	Head office address/Contact address	The starting point is the Relevant person.	Time is no longer relevant	Reason	Relationship with the company
A	INDIVIDUAL								
I	Board of Directors								
1	Bui Van Tuan		Chairman			09/25/2023			Internal people
2	Pham Minh		Board Member, Director			12/01/2017			Internal people
3	Nguyen Van Cu		Member			March 26, 2010			Internal people
4	Nguyen Manh Diep		Member			April 24, 2020			Internal people
5	Tran Xuan Hoa		Member			12/01/2022			Internal people
II	Board of Supervisors								
1	Nguyen Thi Lan Anh		Prefect			April 22, 2016			Internal people
2	Bui Thi Minh Thu		Member			March 26, 2010			Internal people
3	Nguyen Thi Hong Diep		Member			April 24, 2020			Internal people

III	Board of Directors								
1	Nguyen Thuy Duong		(Vice president)			01/03/2019			Internal people
2	Le Thi Thu Trang		(Vice president)			December 16, 2019			Internal people
IV	Chief Accountant - Internal Auditor - Secretary - Company Administrator								
1	Nguyen Thi Quynh Ngan		Chief Accountant			April 10, 2024			Internal people
2	Vu Ngoc Minh		In charge of internal audit of the Company			01/01/2023			Internal people
3	Pham Mai Lan		Secretary – Company Administrator			March 1, 2019			Internal people
B	ORGANIZATION								
PARENT COMPANY									
1	Vietnam National Coal - Mineral Industries Group			Enterprise code: 5700100256; 4th change on July 25, 2024; Hanoi Department of Planning and Investment	No. 3 Duong Dinh Nghe, Cau Giay District, Hanoi				Parent Company of the Company
OTHER COMPANIES OF VIETNAM COAL - MINERAL INDUSTRY GROUP									
1	Cam Pha Port and Logistics Company - Vinacomin			Business Code 5700100256-001	Group 93, Area 9B, Cua Ong Ward - Cam Pha City - Quang Ninh	September 14, 2010			Branch of Vietnam National Coal and Mineral Industries

					Province				Group
2	Quang Ninh Coal Processing Company - TKV			Enterprise code: 5700100256-061	New urban area, Cao Xanh Ward - Ha Long City - Quang Ninh	December 26, 2013			Branch of Vietnam National Coal and Mineral Industries Group
3	Cua Ong Coal Selection Company - TKV			Enterprise code: 5700100256-033	Group 48, Area 4B2, Cua Ong Ward, Cam Pha City, Quang Ninh Province	September 28, 2010			Branch of Vietnam National Coal and Mineral Industries Group
4	Mine Rescue Center - Vinacomin			Enterprise code: 5700100256-004	Ha Tu Ward - Ha Long City - Quang Ninh Province	Sesptember 16, 2020			Branch of Vietnam National Coal and Mineral Industries Group
5	Nam Mau Coal Company - TKV			Enterprise code: 5700100256-058	No. 1A Tran Phu - Quang Trung Ward - Uong Bi City - Quang Ninh Province	July 24, 2013			Branch of Vietnam National Coal and Mineral Industries Group
6	Dak Nong Aluminum Company - TKV			Enterprise code: 5700100256-066	Village 11 - Nhan Co Commune - Dak R'Lap District - Dak Nong Province	September 25, 2015			Branch of Vietnam National Coal and Mineral Industries Group
7	Vinacomin Automobile Industry Joint Stock			Enterprise code: 5700353722	No. 370 Tran Quoc Tang	February 22, 2008			Subsidiary of Vietnam

	Company				Street, Cam Thinh Ward, Cam Pha City, Quang Ninh Province, Vietnam				National Coal and Mineral Industries Group
8	Uong Bi Electromechanical Joint Stock Company – Vinacomin			Enterprise code: 5700526340	Group 13, Zone 8, Bac Son Ward, Uong Bi City, Quang Ninh Province, Vietnam	December 31, 2004			Subsidiary of Vietnam National Coal and Mineral Industries Group
9	Hon Gai Mechanical Joint Stock Company			Enterprise code: 5700889986	No. 108 Le Thanh Tong Street, Hong Gai Ward, Ha Long City, Quang Ninh Province, Vietnam	June 25, 2008			Subsidiary of Vietnam National Coal and Mineral Industries Group
10	Mao Khe Mechanical Joint Stock Company			Enterprise code: 5700526478	Quang Trung Area, Mao Khe Ward, Dong Trieu City, Quang Ninh Province, Vietnam	December 30, 2004			Affiliated company of Vietnam National Coal and Mineral Industries Group
11	Vietnam College of Coal and Minerals			Enterprise code: 5701740890	No. 8, Chu Van An, Hong Hai Ward, Ha Long City,	December 22, 2014			Independent accounting unit of Vietnam

					Quang Ninh				National Coal and Mineral Industries Group
12	Vietnam Coal and Minerals Hospital			Enterprise code: 5700100256-034	Lane 1 Phan Dinh Giot Street, Phuong Liet Ward, Thanh Xuan District, Hanoi	04/09/1998			Independent accounting unit of Vietnam National Coal and Mineral Industries Group
13	Production control center in Quang Ninh			Enterprise code: 5700100256-057		June 18, 2011			Branch of Vietnam National Coal and Mineral Industries Group
14	Thong Nhat Coal Company - TKV			Enterprise code: 5700100256-031	No. 1, Le Thanh Nghi Street, Cam Dong Ward, Cam Pha City, Quang Ninh Province	July 24, 2013			Branch of Vietnam National Coal and Mineral Industries Group
15	Khe Cham Coal Company - TKV			Enterprise code: 5700100256-060	Zone 6, Mong Duong Ward, Cam Pha City, Quang Ninh Province	July 24, 2013			Branch of Vietnam National Coal and Mineral Industries Group
16	Quang Hanh Coal			Enterprise code:	No. 302, Tran	July 24, 2013			Branch of

	Company - TKV			5700100256-059	Phu Street, Cam Thanh Ward, Cam Pha City, Quang Ninh Province				Vietnam National Coal and Mineral Industries Group
17	Duong Huy Coal Company - TKV			Enterprise code: 5700100256-032	Group 5, Tran Hung Dao Quarter, Cam Thach Ward, Cam Pha City, Quang Ninh Province	July 24, 2013			Branch of Vietnam National Coal and Mineral Industries Group
18	Hon Gai Coal Company - TKV			Enterprise code: 5700100256-063	No. 169, Le Thanh Tong Street, Hong Gai Ward, Ha Long City, Quang Ninh Province	December 30, 2013			Branch of Vietnam National Coal and Mineral Industries Group
19	Ha Long Coal Company - TKV			Enterprise code: 5700100256-062	Group 65, Diem Thuy area, Cam Dong ward, Cam Pha city, Quang Ninh province	December 27, 2013			Branch of Vietnam National Coal and Mineral Industries Group
20	Uong Bi Coal Company - TKV			Enterprise code: 5700100256-065	Group 17, Zone 3, Trung Vuong Ward, Uong Bi City, Quang Ninh Province	April 1, 2015			Branch of Vietnam National Coal and Mineral Industries Group

21	Mao Khe Coal Company - TKV			Enterprise code: 5700100256-030	Democratic Area, Mao Khe Ward, Dong Trieu Town, Quang Ninh Province	July 24, 2013			Branch of Vietnam National Coal and Mineral Industries Group
22	Da Bac Logistics Company – Vinacomin			Enterprise code: 5700100256-037	Tan Lap II Area, Phuong Dong Ward, Uong Bi City, Quang Ninh Province	September 14, 2007			Branch of Vietnam National Coal and Mineral Industries Group
23	Mining Construction Company - TKV			Enterprise code: 5700100256-067	No. 804, Zone 7B, Cam Phu Ward, Cam Pha City, Quang Ninh Province	December 27, 2017			Branch of Vietnam National Coal and Mineral Industries Group
24	Coal Mine Project Management Board - TKV			Enterprise code: 5700100256-021	No. 226 Le Duan Street, Trung Phung Ward, Dong Da District, Hanoi City	June 26, 2006			Branch of Vietnam National Coal and Mineral Industries Group
25	Red River Delta Coal Project Management Board – Vinacomin			Enterprise code: 5700100256-043	Industrial Road, Khoai Chau Town, Khoai Chau	10/01/2010			Branch of Vietnam National Coal and Mineral

					District, Hung Yen Province, Vietnam				Industries Group
26	Lam Dong Bauxite Aluminum Complex Project Management Board			Enterprise code: 5700100256-024	Zone 2, Loc Thang Town, Bao Lam District, Lam Dong Province	August 4, 2006			Branch of Vietnam National Coal and Mineral Industries Group
27	Nhan Co Alumina Factory Project Management Board – Vinacomin			Enterprise code: 5700100256-050	Nhan Co Commune, Dak R'Lap District, Dak Nong Province	December 31, 2010			Branch of Vietnam National Coal and Mineral Industries Group
28	Khe Cham Coal Preparation Plant Project Management Board – Vinacomin			Enterprise code: 5700100256-048	Zone 13, Mong Duong Ward, Cam Pha City, Quang Ninh Province	04/05/2009			Branch of Vietnam National Coal and Mineral Industries Group
29	Project Management Board of the Operator – Vinacomin			Enterprise code: 5700100256-054	Lot 22-E3 Cau Giay new urban area, Yen Hoa Ward, Cau Giay District, Hanoi	May 27, 2011			Branch of Vietnam National Coal and Mineral Industries Group
30	Vinacomin - Business Management School			Enterprise code: 5700100256-068	No. 25, Lane 46, An Hoa Street, Mo Lao Ward, Ha Dong District, Hanoi	July 18, 2019			Branch of Vietnam National Coal and Mineral Industries Group

31	Hotel Heritage Ha Long – Vinacomin			Enterprise code: 5700100256-023	Ha Long Street, Bai Chay Ward, Ha Long City, Quang Ninh Province	May 30, 2005			Branch of Vietnam National Coal and Mineral Industries Group
32	Mining Chemical Industry Corporation - Vinacomin			Enterprise code: 1001050277	Phan Dinh Giot Street, Phuong Liet Ward, Thanh Xuan District, Hanoi	December 16, 2014			Subsidiary of Vietnam National Coal and Mineral Industries Group
33	Lam Dong Aluminum Company Limited - TKV			Enterprise code: 5800939133	Phan Dinh Phung Street, Group 15, Loc Thang Town, Bao Lam District, Lam Dong Province, Vietnam	October 28, 2010			Subsidiary of Vietnam National Coal and Mineral Industries Group
34	TKV Environment Company Limited			Enterprise code: 5700100425	Km 4 Tran Phu Street, Cam Thuy Ward, Cam Pha City, Quang Ninh Province, Vietnam	06/07/2009			Subsidiary of Vietnam National Coal and Mineral Industries Group

35	Cao Son Coal Joint Stock Company - TKV			Enterprise code: 5700101098	Cam Son Ward, Cam Son Ward, Cam Pha City, Quang Ninh Province, Vietnam	08/05/2020			Subsidiary of Vietnam National Coal and Mineral Industries Group
36	Deo Nai - Coc Sau Coal Joint Stock Company - TKV			Enterprise code: 5702162138	No. 42, Kim Dong Street, Cam Tay Ward, Cam Pha City, Quang Ninh Province, Vietnam	June 24, 2024			Subsidiary of Vietnam National Coal and Mineral Industries Group
37	Mong Duong Coal Joint Stock Company - Vinacomin			Enterprise code: 5700101203	Mong Duong Ward, Cam Pha City, Quang Ninh Province, Vietnam	02/01/2008			Subsidiary of Vietnam National Coal and Mineral Industries Group
38	Ha Tu Coal Joint Stock Company – Vinacomin			Enterprise code: 5700101323	Group 6, Zone 3, Ha Tu Ward, Ha Long City, Quang Ninh Province, Vietnam	December 25, 2006			Subsidiary of Vietnam National Coal and Mineral Industries Group
39	Ha Lam Coal Joint Stock Company - Vinacomin			Enterprise code: 5700101637	No. 1, Tan Lap Street, Ha Lam Ward, Ha Long City, Quang Ninh Province,	01/02/2008			Subsidiary of Vietnam National Coal and Mineral Industries Group

					Vietnam				
40	Nui Beo Coal Joint Stock Company - Vinacomin			Enterprise code: 5700101700	No. 799, Le Thanh Tong Street, Bach Dang Ward, Ha Long City, Quang Ninh Province, Vietnam	April 1, 2006			Subsidiary of Vietnam National Coal and Mineral Industries Group
41	Vang Danh Coal Joint Stock Company - Vinacomin			Enterprise code: 5700101877	No. 969, Bach Dang Street, Quang Trung Ward, Uong Bi City, Quang Ninh Province, Vietnam	01/07/2008			Subsidiary of Vietnam National Coal and Mineral Industries Group
42	Viet Bac Mining Industry Corporation TKV - JSC			Enterprise code: 0100100015	No. 1, Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi City, Vietnam	January 23, 2006			Subsidiary of Vietnam National Coal and Mineral Industries Group
43	TKV Power Corporation - JSC			Enterprise code: 0104297034	No. 78, 2nd floor, Sannam building, Duy Tan street, Dich Vong Hau ward, Cau Giay district, Hanoi city, Vietnam	December 7, 2009			Subsidiary of Vietnam National Coal and Mineral Industries Group

44	TKV Minerals Corporation - JSC			Enterprise code: 0100103087	No. 193 Nguyen Huy Tuong Street, Thanh Xuan Trung Ward, Thanh Xuan District, Hanoi City	July 1, 2010			Subsidiary of Vietnam National Coal and Mineral Industries Group
45	Dong Ta Phoi Joint Stock Company - Vinacomin			Enterprise code: 0100103087	No. 193 Nguyen Huy Tuong Street, Thanh Xuan Trung Ward, Thanh Xuan District, Hanoi City	05/02/2009			Subsidiary of Vietnam National Coal and Mineral Industries Group
46	Thanh Hoa Chromite Joint Stock Company - TKV			Enterprise code: 2801007917	No. 338A, Ba Trieu Street, Nua Town, Trieu Son District, Thanh Hoa Province	October 23, 2006			Subsidiary of Vietnam National Coal and Mineral Industries Group
47	Thach Khe Iron Joint Stock Company - Vinacomin			Enterprise code: 3000408242	64 Phan Dinh Phung, Nam Ha Ward, Ha Tinh City, Ha Tinh Province	April 2, 2007			Subsidiary of Vietnam National Coal and Mineral Industries Group
48	Northern Coal Trading Joint Stock Company – Vinacomin			Enterprise code: 0101990554	No. 5, Phan Dinh Giot Street, Phuong Liet Ward, Thanh Xuan District, Hanoi	July 12, 2006			Subsidiary of Vietnam National Coal and Mineral Industries Group

49	Vinacomin - Cam Pha Coal Trading Joint Stock Company - Vinacomin			Enterprise code: 5700526333	No. 170 Tran Phu Street, Cam Tay Ward, Cam Pha City, Quang Ninh Province	December 27, 2004			Subsidiary of Vietnam National Coal and Mineral Industries Group
50	Appraisal Joint Stock Company – Vinacomin			Enterprise code: 5700100552	No. 55, Le Thanh Tong Street, Hong Gai Ward, Ha Long City, Quang Ninh	April 3, 2006			Subsidiary of Vietnam National Coal and Mineral Industries Group
51	Mining Geology Joint Stock Company - TKV			Enterprise code: 5701436805	No. 304 Tran Phu Street, Cam Thanh Ward, Cam Pha City, Quang Ninh Province	October 27, 2010			Subsidiary of Vietnam National Coal and Mineral Industries Group
52	Viet Bac Geological Joint Stock Company - TKV			Enterprise code: 0104944595	House No. 30B, Doan Thi Diem Street, Quoc Tu Giam Ward, Dong Da District, Hanoi City	October 5, 2010			Subsidiary of Vietnam National Coal and Mineral Industries Group
53	Geology and Minerals Joint Stock Company – Vinacomin			Enterprise code: 0300424948	173 Truong Dinh, Ward 09, District 3, Ho Chi Minh City	September 22, 2004			Subsidiary of Vietnam National Coal and Mineral Industries Group

54	Materials Joint Stock Company - TKV			Enterprise code: 5700100707	Group 1, Area 2, Hong Ha Ward, Ha Long City, Quang Ninh Province, Vietnam	September 4, 1998			Subsidiary of Vietnam National Coal and Mineral Industries Group
55	Transportation and Miner Commuting Service Joint Stock Company - Vinacomin			Enterprise code: 5700477326	No. 750, Hoang Quoc Viet Street, Group 16, Tay Son 2 Area, Cam Son Ward, Cam Pha City, Quang Ninh Province	December 22, 2003			Subsidiary of Vietnam National Coal and Mineral Industries Group
56	Information Technology and Environment Joint Stock Company – Vinacomin			Enterprise code: 0101919181	Building B15 Dai Kim new urban area, Dai Kim Ward, Hoang Mai District, Hanoi City	April 3, 2006			Subsidiary of Vietnam National Coal and Mineral Industries Group
57	Maritime Pilotage Joint Stock Company - TKV			Enterprise code: 5700587583	Hang Than Street, Hong Gai Ward, Ha Long City, Quang Ninh Province	March 15, 2008			Subsidiary of Vietnam National Coal and Mineral Industries Group
58	Vinacomin Mining and Industry Investment Consulting Joint Stock			Enterprise code: 0500237543	565 Nguyen Trai Street, Thanh Xuan	March 28, 2006			Subsidiary of Vietnam National Coal

	Company				Nam Ward, Thanh Xuan District, Hanoi				and Mineral Industries Group
59	Institute of Mining Science and Technology - Vinacomin			Enterprise code: 0100101594	No. 3 Phan Dinh Giot Street, Phuong Liet Ward, Thanh Xuan District, Hanoi	May 16, 1998			Subsidiary of Vietnam National Coal and Mineral Industries Group
60	Vinacomin - Institute of Energy and Mining Engineering			Enterprise code: 0100100632	565 Nguyen Trai Street, Thanh Xuan Nam Ward, Thanh Xuan District, Hanoi	May 16, 1998			Subsidiary of Vietnam National Coal and Mineral Industries Group
61	Vinacomin - Hongai Coal Preparation Company			Enterprise code: 5700100256-002	No. 46 Doan Thi Diem Street, Bach Dang Ward, Ha Long City, Quang Ninh Province	September 29, 1998			Subsidiary of Vietnam National Coal and Mineral Industries Group
62	Vinacomin - Machinery Joint Stock Company			Enterprise code: 5700495999	486 Tran Phu Street, Cam Thuy Ward, Cam Pha City, Quang Ninh Province	Jun 07, 2004			Subsidiary of Vietnam National Coal and Mineral Industries Group

APPENDIX 2

Transactions between the Company and related persons of the Company; or between the Company and major shareholders, internal people, and relevant persons of internal people

(Attached to Report No. *111* /BC-CLM dated 24/01/2025)

STT	Name of organization/individual	Relationship with the Company	ID Number*, date of issue, place of issue	Head office address/Contact address	Time of transaction with the company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors... (if any, specify the date of issue)	Content, quantity, total value of contract	Note
1	Vietnam National Coal - Mineral Industries Group	Major shareholder	Enterprise code: 5700100252; 4th change on July 25, 2024; Hanoi Department of Planning and Investment	No. 3 Duong Dinh Nghe, Cau Giay District, Hanoi	year 2024		<ul style="list-style-type: none"> - Coal export: 91,215.68 tons; value 22,582,392.15 USD; - Domestic coal purchase: 1,557,980.64 tons; value 3,119,776,901,438 VND 	
2	Cam Pha Port and Logistics Company - Vinacomin		5700100256-001	Group 93, Area 9B, Cua Ong Ward - Cam Pha City - Quang Ninh Province	year 2024		<ul style="list-style-type: none"> - Imported coal: 1,537,368.68 tons; value 5,115,922,114,119 VND - Blended processed coal: 2,099,065.81 tons; worth 6,102,295,934,155 VND - Coal delivered: 4,832,393.67 tons; value: 	

STT	Name of organization/individual	Relationship with the Company	ID Number*, date of issue, place of issue	Head office address/Contact address	Time of transaction with the company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors... (if any, specify the date of issue)	Content, quantity, total value of contract	Note
							42,795,678,341 VND;	
3	Quang Ninh Coal Processing Company - TKV		5700100256-061	New urban area, Cao Xanh Ward - Ha Long City - Quang Ninh	year 2024		- Imported coal: 1,014,609.03 tons; value: 3,406,962,365,512 VND	
4	Cua Ong Coal Selection Company - TKV		5700100256-033	Group 48, Area 4B2, Cua Ong Ward, Cam Pha City, Quang Ninh Province	year 2024		Materials: 1,300,576,500 VND	
5	Mine Emergency Center-Vinacomin		5700100256-004	Ha Tu Ward - Ha Long City - Quang Ninh Province	year 2024		Materials: 3,570,215,000 VND	
6	Quang Hanh Coal Company - TKV		5700100256-059	302 Tran Phu - Cam Thanh Ward - Cam	year 2024		Materials: 5,209,730,400 VND	

STT	Name of organization/individual	Relation ship with the Compan y	ID Number*, date of issue, place of issue	Head office address/Cont act address	Time of transactio n with the company	Resolution/ Decision No. approved by the General Meeting of Shareholder s/Board of Directors... (if any, specify the date of issue)	Content, quantity, total value of contract	Note
				Pha City - Quang Ninh Province				
7	Nam Mau Coal Company - TKV		5700100256-058	No. 1A Tran Phu - Quang Trung Ward - Uong Bi City - Quang Ninh Province	year 2024		Materials: 2,952,711,800 VND	
8	Dak Nong Aluminum Company - TKV		5700100256-066	Village 11 - Nhan Co Commune - Dak R'Lap District - Dak Nong Province	year 2024		Materials: 3,348,000,000 VND	
9	Mao Khe Coal Company - TKV		5700100256-030	Mao Khe Ward - Dong Trieu Town - Quang Ninh Province	year 2024		Materials: 7,741,056,500 VND	
10	Duong Huy Coal Company - TKV		5700100256-032	Group 5 - Tran Hung Dao Quarter - Cam Thach	2024		Materials: 669,900,000 VND	

STT	Name of organization/individual	Relationship with the Company	ID Number*, date of issue, place of issue	Head office address/Contact address	Time of transaction with the company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors... (if any, specify the date of issue)	Content, quantity, total value of contract	Note
				Ward - Cam Pha City - Quang Ninh Province				
11	Ha Long Coal Company - TKV		5700100256-062	Diem Thuy Area - Cam Dong Ward - Cam Pha City - Quang Ninh Province	2024		Materials: 47,266,433 VND	
12	Lam Dong Aluminum Company Limited - TKV		5800939133	Phan Dinh Phung Street - Group 15 - Loc Thang Town - Bao Lam District - Lam Dong Province	2024		Materials: 2,147,472,000 VND	
13	Vinacomin Quaccontrol Joint Stock Company		5700100552	No. 55 Le Thanh Tong Street, Hong	Năm 2024		Materials: 14.177.075.304 VNĐ	

STT	Name of organization/individual	Relationship with the Company	ID Number*, date of issue, place of issue	Head office address/Contact address	Time of transaction with the company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors... (if any, specify the date of issue)	Content, quantity, total value of contract	Note
				Gai Ward, Ha Long City, Quang Ninh Province				
14	Vinacomin Hospital		5700100256-034	Alley 1, Phan Dinh Giot Street, Phuong Liet Ward, Thanh Xuan District, Hanoi	Năm 2024		Materials: 282,973,431 VND	
15	School of Business Administration - Vinacomin		5700100256-068	No. 25, Alley 46, An Hoa Street, Mo Lao Ward, Ha Dong District, Hanoi	Năm 2024		Materials: 155.369.180 VND	
16	Vietnam College of Coal and Minerals		5701740890	No. 8, Chu Van An, Hong Hai Ward, City H	Năm 2024		Materials: 105.020.000 VND	

APPENDIX 3

Transactions between the Company and other entities

(Attached to Report No. 111 /BC-CLM dated 24/01/2025)

1. Transactions between the company and companies in which members of the Board of Directors, members of the Board of Supervisors, Directors and other managers were and are founding members or members of the Board of Directors, Executive Directors in the past three (03) years (as of the time of reporting):

No	Name of organization/individual	Relationship with the Company	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	Time of transaction with the company	Resolution/Decision No. approved by the General Meeting of Shareholders/ Board of Directors... (if any, specify the date of issue)	Content, quantity, total value of contract	Note
1	Appraisal Joint Stock Company Vinacomin		5700100552	No. 55 Le Thanh Tong Street, Hong Gai Ward, Ha Long City, Quang Ninh Province	2024		Service fee: 14,177,075,304 VND	Ms. Nguyen Thi Lan Anh is Head of the Board of Supervisors.

STT	Name of organization/individual	Relationship with the Company	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	Time of transaction with the company	Resolution/Decision No. approved by the General Meeting of Shareholders/ Board of Directors... (if any, specify the date of issue)	Content, quantity, total value of contract	Note
2	Branch of Vietnam National Coal - Mineral Industries Group - Mine Rescue Center - Vinacomin		5700100256-004	Ha Tu Ward - Ha Long City - Quang Ninh Province	2024		Materials: 3,570,215,000 VND	Ms. Nguyen Thi Lan Anh as Controller

2. Transactions between the company and companies in which relevant persons of members of the Board of Directors, members of the Board of Supervisors, Directors and other managers are members of the Board of Directors and Executive Directors: None.

3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: None.

APPENDIX 4

List of internal people and relevant persons of internal people

(Attached to Report No. 111 /BC-CLM dated 24/01/2025)

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
1	Bui Van Tuan		Chairman of the Board			0	0%	
	Bui Van Kien					0	0%	Father (deceased)
	Bui Thi Khoa					0	0%	Mother
	Duong Thong					0	0%	Father-in- law (deceased)
	Phan To Hang					0	0%	Mother in law
	Duong Le Huong					0	0%	Wife
	Bui Minh Phuc					0	0%	Son
	Bui Minh Hieu					0	0%	Son
	Bui Thi Hang					0	0%	younger sister
	Bui Thi Ha					0	0%	younger sister
	Tran Dinh Quang					0	0%	brother in law
	Automobile Industry Joint Stock					0	0%	be the

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Company – Vinacomin							Chairman of the Board
	Uong Bi Electromechanical Joint Stock Company – Vinacomin					0	0%	be the Chairman of the Board
	Hon Gai Mechanical Joint Stock Company					0	0%	be the member of the Board of Directors
	Vietnam National Coal - Mineral Industries Group					6,095,348	55.41%	
2	Pham Minh		Company Director - Member of Board of Directors			33,968	0.31%	
	Pham Tu					0	0%	Father
	Nguyen Thi Qua					0	0%	Mother
	Nguyen Trong Xuyen					0	0%	Father in law
	Hoang Thi Nga					0	0%	Mother in law
	Nguyen Thi Minh Hue					0	0%	Wife
	Pham Duy					0	0%	Son
	Pham Y An					0	0%	Daughter

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Pham Thi Trang					0	0%	younger sister
	Hoang Manh Thang					0	0%	brother in law
3	Nguyen Manh Diep		Board Member			13,559	0.12%	
	Nguyen Ngoc Lang					0	0%	Father
	Nguyen Thi Bac					0	0%	Mother
	Nguyen Sy Hien					0	0%	Father in law
	Ha Tuyet Lan					0	0%	Mother in law
	Nguyen Thu Hoai					0	0%	Wife
	Nguyen Nha Han					0	0%	Daughter
	Nguyen Quang Duy					0	0%	Son
	Nguyen Minh Thang					0	0%	younger brother
	Nguyen Hai Hung					0	0%	younger brother
	Vu Thi My Hanh					0	0%	sister in law
	Le Thanh Huyen					0	0%	sister in law
4	Nguyen Van Cu		Board Member			501,673	4.56%	
	Nguyen Van Am					0	0%	Father (deceased)
	Pham Thi Xuong					0	0%	Mother

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Dinh Ngoc Dat					0	0%	Father in law
	Dinh Thi May					0	0%	Mother in law
	Dinh Thi Van					119,488	1.09%	Wife
	Nguyen Thanh Trung					0	0%	Son
	Nguyen Thi Van Anh					0	0%	Daughter
	Nguyen Ha Anh					0	0%	Daughter
	Nguyen Thi Trach					0	0%	elder sister
	Tran Duc					0	0%	brother in law
5	Tran Xuan Hoa		Board Member			59,744	0.54%	
	Tran Luc					0	0%	Father (deceased)
	Le Thi Phuong					0	0%	Mother (deceased)
	Le Van Duc					0	0%	Father-in- law (deceased)
	Tran Thi Thuy					0	0%	Mother-in- law (deceased)
	Le Thanh Mai					0	0%	Wife
	Tran Le Dung					0	0%	Daughter
	Tran Mai Linh					0	0%	Daughter

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Ali Riad - Charles Ajami					0	0%	son in law
	Simon Mui					0	0%	son in law
	Tran Thi Thanh Binh					0	0%	younger sister
	Tran Hung Phu					42,876	0.39%	younger brother
	Nguyen Quoc Binh					0	0%	brother in law
6	Nguyen Thi Lan Anh		Head of Board of Supervisors			4,242	0.04%	
	Nguyen Van Dan					0	0%	Father
	Bui Thuy Lan					0	0%	Mother
	Nguyen Duc Thinh					0	0%	Father in law
	Nguyen Thi Nga					0	0%	Mother in law
	Nguyen Viet Dung					0	0%	Husband
	Nguyen Van Anh					0	0%	Daughter
	Nguyen Viet Anh					0	0%	Son
	Huang Lu					0	0%	son in law
	Nguyen Mai Anh					0	0%	younger sister
	Dao Van Minh					0	0%	brother in law

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Vinacomin Automobile Industry Joint Stock Company					0	0%	be the Head of Board of Supervisors
	Vinacomin Inspection Joint Stock Company					0	0%	be the Head of Board of Supervisors
	Mao Khe Mechanical Joint Stock Company					0	0%	be the Head of Board of Supervisors
	Branch of Vietnam National Coal - Mineral Industries Group - Mine Rescue Center - Vinacomin					0	0%	be the Controller
7	Bui Thi Minh Thu		Board Member			52,515	0.48%	
	Bui Duc Thinh					0	0%	Father (deceased)
	Bui Thi Ty					0	0%	Mother
	Truong Duc Quan					0	0%	Father in law
	Ngo Thi Mai					0	0%	Mother-in- law (deceased)
	Truong Duc Cuong					0	0%	Husband
	Truong Quynh Trang					0	0%	Daughter
	Truong Tan Dung					0	0%	Son

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Bui Trung Thuy					0	0%	elder sister
	Bui Thi Truong Thi					0	0%	younger sister
	Bui Manh Tung					0	0%	younger brother
	Bui Minh Tri					0	0%	younger brother
	Nguyen Minh Dong					0	0%	brother in law
	Pham Van Cat					0	0%	brother in law
	Tran Thuy Duong					0	0%	sister in law
	Nguyen Thi Kim Oanh					0	0%	sister in law
8	Nguyen Thi Hong Diep		Board Member			11,725	0.11%	
	Nguyen Nghia Lung					0	0%	Father
	Nguyen Thi Ly					0	0%	Mother
	Vu Dinh Phan					0	0%	Father in law
	Nguyen Thanh Hien					0	0%	Mother in law
	Vu Thanh					0	0%	Husband
	Vu Minh Ngoc					0	0%	Son
	Vu Dinh Phuc					0	0%	Son
	Nguyen Nghia Linh					0	0%	younger brother

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Nguyen Thi Nha					0	0%	sister in law
9	Nguyen Thuy Duong		Deputy Director of the Company			0	0%	
	Nguyen Tien Dan					0	0%	Father
	Nham Thu Lan					0	0%	Mother
	Vu Van Thang					0	0%	Father in law
	Nguyen Thi Thanh					0	0%	Mother in law
	Vu Thi Tra My					0	0%	Wife
	Nguyen Ngoc Khanh Linh					0	0%	Daughter
	Nguyen Ngoc Thao Linh					0	0%	Daughter
	Nguyen Tien Minh Quang					0	0%	Son
	Nguyen Vu Thuy An					0	0%	Daughter
	Nguyen Tien Hong Duong					0	0%	younger brother
10	Le Thi Thu Trang		Deputy Director of the Company			76,608	0.7%	
	Le Viet Quan					0	0%	Father
	Nguyen Thi Loi					212,749	1.93%	Mother
	Nguyen Van Thanh					0	0%	Father in law
	Nguyen Thi Luy					0	0%	Mother in

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Nguyen Thi Nha					0	0%	sister in law
9	Nguyen Thuy Duong		Deputy Director of the Company			0	0%	
	Nguyen Tien Dan					0	0%	Father
	Nham Thu Lan					0	0%	Mother
	Vu Van Thang					0	0%	Father in law
	Nguyen Thi Thanh					0	0%	Mother in law
	Vu Thi Tra My					0	0%	Wife
	Nguyen Ngoc Khanh Linh					0	0%	Daughter
	Nguyen Ngoc Thao Linh					0	0%	Daughter
	Nguyen Tien Minh Quang					0	0%	Son
	Nguyen Vu Thuy An					0	0%	Daughter
	Nguyen Tien Hong Duong					0	0%	younger brother
10	Le Thi Thu Trang		Deputy Director of the Company			76,608	0.7%	
	Le Viet Quan					0	0%	Father
	Nguyen Thi Loi					212,749	1.93%	Mother
	Nguyen Van Thanh					0	0%	Father in law
	Nguyen Thi Luy					0	0%	Mother in

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
								law
	Nguyen Thai Ha					218,801	1.99%	Husband
	Nguyen Thai Khiem					0	0%	Son
	Nguyen Le Thai Uyen					0	0%	Daughter
	Le Thi Viet Thu					0	0%	elder sister
	Le Thanh Son					0	0%	younger brother
	Vu Chi Kien					0	0%	brother in law
	Dang Thi Phuong					0	0%	sister in law
11	Nguyen Thi Quynh Ngan		Chief Accountant of the Company					
	Nguyen Quang Liem							Father (deceased)
	Chu Thi Huong							Mother (deceased)
	Pham Ngoc Quynh							Father in law (deceased)
	Hoang Thi Ninh					0	0%	Mother in law
	Pham Hoang Son					0	0%	Husband

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
	Pham Nguyen Hoang					0	0%	Son
	Pham Ngan An					0	0%	Daughter
	Nguyen Quang Minh					0	0%	elder brother
	Nguyen Quang Hung					2,600	0.02%	younger brother
	Bui Thi Hien					0	0%	Sister in law
	Nguyen Thi Thu Huong					0	0%	sister in law
12	Vu Ngoc Minh		In charge of internal audit of the Company			0	0%	
	Vu Van Tuan					0	0%	Father
	Tran Thi Minh Ngoc					0	0%	Mother
	Tran Duy Ha					0	0%	Father in law
	Nguyen Bich Nga					0	0%	Mother in law
	Tran Bich Hanh					0	0%	Wife
	Vu Bao Lan					0	0%	Daughter
	Vu Bao Han					0	0%	Daughter
	Vu Kim Anh					0	0%	elder sister
	Nguyen Manh Tuan					0	0%	brother in law

St at us	Full name	Securities trading account (if any)	Position at the Company	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period	End of period share owners hip ratio	Note
13	Pham Mai Lan		Secretary – Company Administrator			13,441	0.12%	
	Pham Ngoc Can					0	0%	Father
	Nguyen Thi Tuyet Mai					0	0%	Mother (deceased)
	Truong Sy Hoa					0	0%	Father-in- law (deceased)
	Nguyen Thi Hong					0	0%	Mother in law
	Truong Nam Son					0	0%	Husband
	Truong Quang Hieu					0	0%	Son
	Truong Ngan Giang					0	0%	Daughter
	Pham Thi Mai Anh					0	0%	elder sister
	Pham Ngoc Thai Hoa					0	0%	younger brother
	Ngo Ngoc Giam					0	0%	brother in law
	Nguyen Duc Thang					0	0%	brother in law
14	Vietnam National Coal - Mineral Industries Group					6,095,348	55.41%	Major shareholde r

APPENDIX 5

Internal people and related person transactions relevant to the company shares

(Attached to Report No. 111 /BC-CLM dated 24/01/2025)

Stat us	The person who makes the transaction	Internal people Relations	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reason for increase, decrease (buy, sell, convert, reward...)
			Number of shares	Propo rtion	Number of shares	Prop ortio n	
1	Nguyen Thai Ha	Husband of Ms. Le Thi Thu Trang (Deputy Director of the Company)	161,001	1.46%	218,801	1.99%	Buy